中国国际贸易促进委员会商事认证中心

关于调整贸促会中国-东盟自贸协定项下原产地证书格式的说明

自 2019 年 8 月 20 日起，中国贸促会及地方机构依照《中华人民共和国与东南亚国家联盟关于修订〈中国-东盟全面经济合作框架协定〉及项下部分协议的议定书》和《中华人民共和国海关〈中华人民共和国政府和东南亚联盟全面经济合作框架协定〉项下经修订的进出口货物原产地管理办法》签发中国-东盟自贸协定项下原产地证书（以下简称“FORM E”）。因部分东盟国家海关对中国-东盟自贸协定理解不同，对贸促会签发的 FORM E 底色提出了质疑。现将有关情况说明如下：

一、目前，贸促会签发的 FORM E（证书格式详见附件 1）确系打印在国际标准 A4 白纸上，符合中国-东盟自由贸易协定原产地规则签证操作程序修订案规则八的规定。贸促会 FORM E 正本的黄色底纹及副本的绿色底纹非纸张本身的颜色，是系统打印功能的特定设置。

二、为消除对中国-东盟自贸协定文本理解分歧，帮助中国企业在东盟各国海关顺利实现通关，自 2019 年 9 月 20
日起，贸促会签发的 FORM E 采用新格式（新证书格式详见附件 2）。

三、2019 年 9 月 20 日前，贸促会按原格式签发的 FORM E 合法有效。如对原格式证书有疑问，可通过查询网站（http://check.ccpiteco.net/）核实证书信息。

特此说明。

附件：1. FORM E 原格式（2019 年 9 月 20 日前）
   2. FORM E 新格式（2019 年 9 月 20 日后）

中国贸促会商事认证中心
2019 年 9 月 17 日
<table>
<thead>
<tr>
<th>Serial No.</th>
<th>Reference No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>ASEC-CHINA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Country) Declaration and Certificate)</td>
<td></td>
</tr>
</tbody>
</table>

**FORM E**

**Original**

| 1. Products consigned from (Exporter's business name, address, country) |
| 2. Products consigned to (Consignee's name, address, country) |

3. Means of transport and route (as far as known)
   - Departure date
   - Vessel's name / Aircraft etc.
   - Port of Discharge

4. For Official Use
   - [ ] Preferential Treatment Not Given
     - Please state reason(s)

5. Item number
   - [ ] Marks and numbers on packages
   - Number and type of packages, description of product (including quantity where appropriate and HS number in six digit code)

6. Origin criteria (see Overleaf Notes)

7. Gross weight or net weight or other quantity and value (FOB only when RVC criterion is applied)

8. Number of invoices

9. Signature of Authorized Signatory of the Importing Party

10. Place and date, signature of authorized signatory

11. Declaration by the exporter
    - The undersigned hereby declares that the above details and statement are correct; that all the products were produced in
    - [ ] CHINA (Country)
    - and that they comply with the origin requirements specified for these products in the Rules of Origin for the AFTA for the products exported to
    - [ ] Importing Country

12. Certification
    - It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

13. □ Issued Retroactively □ Exhibition □ Movement Certificate □ Third Party Invoicing

Place and date, signature and stamp of certifying authority
## Attachment

<table>
<thead>
<tr>
<th>Serial No.</th>
<th>Reference No.</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>ASEAN-CHINA FREE TRADE AREA</strong></td>
<td></td>
</tr>
<tr>
<td><strong>PREFERENTIAL TARIFF</strong></td>
<td></td>
</tr>
<tr>
<td><strong>CERTIFICATE OF ORIGIN</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Declaration and Certificate</strong></td>
<td></td>
</tr>
<tr>
<td><strong>FORM E</strong></td>
<td></td>
</tr>
<tr>
<td><strong>Issued by THE PEOPLE'S REPUBLIC OF CHINA</strong></td>
<td></td>
</tr>
<tr>
<td><strong>(Country)</strong></td>
<td></td>
</tr>
<tr>
<td>See Overleaf Notes</td>
<td></td>
</tr>
</tbody>
</table>

### 1. Products consigned from (Exporter's business name, address, country)

### 2. Products consigned to (Consignee's name, address, country)

### 3. Means of transport and route (as far as known)
- Departure date
- Vessel's name / Aircraft etc.
- Port of Discharge

### 4. For Official Use
- □ Preferential Treatment Given
- □ Preferential Treatment Not Given (Please state reason(s))

Signature of Authorised Signatory of the Importing Party

### 5. Item number

<table>
<thead>
<tr>
<th>Item number</th>
<th></th>
</tr>
</thead>
</table>

### 6. Marks and numbers on packages

### 7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)

### 8. Origin criteria (see Overleaf Notes)

### 9. Gross weight or net weight or other quantity and value (FOB) only when RVC criterion is applied

### 10. Number, date of Invoices

### 11. Declaration by the exporter

The undersigned hereby declares that the above details and statement are correct, that all the products were produced in

CHINA
(Country)

and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to

(Importing Country)

| Place and date, signature of authorised signatory |

### 12. Certification

It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

| Issued Retroactively | Exhibition |
| Movement Certificate | Third Party Invoicing |

Place and date, signature and stamp of certifying authority.
### Duplicate

<table>
<thead>
<tr>
<th>1. Products consigned from (Exporter’s business name, address, country)</th>
<th>2. Products consigned to (Consignee’s name, address, country)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>3. Means of transport and route (as far as known)</th>
<th>4. For Official Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Departure date</td>
<td>Preferential Treatment Given</td>
</tr>
<tr>
<td>Vessel’s name / Aircraft etc.</td>
<td>Preferential Treatment Not Given (Please state reasons)</td>
</tr>
<tr>
<td>Port of Discharge</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. Item number</th>
<th>6. Marks and numbers on packages</th>
<th>7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)</th>
<th>8. Origin criteria (see Overleaf Notes)</th>
<th>9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied</th>
<th>10. Number, date of invoices</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Declaration by the exporter

The undersigned hereby declares that the above details and statement are correct, that all the products were produced in

CHINA (Country)

and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to

(Importing Country)

Place and date, signature of authorised signatory

### Certification

It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

- 5 -
<table>
<thead>
<tr>
<th>Serial No.</th>
<th>Reference No.</th>
</tr>
</thead>
</table>

**ASEAN-COMMUNITY TRADE AREA**

**PREFERENTIAL TARIFF**

**CERTIFICATE OF ORIGIN**

**FORM E**

**Certificate of Origin**

**Issued by:**

**People's Republic of China**

**Country**

See Overleaf Notes

---

1. **Products consigned from (Exporter's business name, address, country):**

   - [ ]

2. **Products consigned to (Consignee's name, address, country):**

   - [ ]

3. **Means of transport and route (as far as known):**

   - **Departure date:** [ ]
   - **Vessel's name / Aircraft etc.:** [ ]
   - **Port of Discharge:** [ ]

4. **For Official Use:**
   - [ ] Preferential Treatment Given
   - [ ] Preferential Treatment Not Given (Please state reason(s))

   **Signature of Authorized Signatory of the Importing Party:**

---

5. **Item number**

6. **Marks and numbers on packages**

7. **Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code):**

8. **Origin criteria (see Overleaf Notes):**

9. **Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied:**

10. **Number, date of invoices:**

11. **Declaration by the exporter:**

   The undersigned hereby declares that the above details and statement are correct, that all the products were produced in **CHINA**

   **Country:**

   and that they comply with the origin requirements specified for these products in the Rules of Origin for the AFTA for the products exported to **Importing Country:**

   **Place and date, signature of authorized signatory:**

---

12. **Certification:**

   It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

---

13. [ ] Issued Retrospectively [ ] Exhibition

   [ ] Movement Certificate [ ] Third Party Invoicing

   **Place and date, signature and stamp of certifying authority:**
| 1. Products consigned from (Exporter's business name, address, country) | Serial No.  
Reference No. |
|---------------------------------------------------------------|------------------|
| 2. Products consigned to (Consignee's name, address, country) | ASEA-CHINA FREE TRADE AREA  
PREFERENTIAL TARIFF  
CERTIFICATE OF ORIGIN  
(With Declaration and Certificate) |
| 3. Means of transport and route (as far as known) | FORM E  
THE PEOPLE'S REPUBLIC OF CHINA  
(Country)  
See Overleaf Notes |
| Departure date | 4. For Official Use  
☐ Preferential Treatment Given  
☐ Preferential Treatment Not Given (Please state reasons) |
| Vessel's name / Aircraft etc. | Signature of Authorised Signatory of the Importing Party |
| Port of Discharge | |
| 5. Item number | 6. Marks and numbers on packages |
| 7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code) | 8. Origin criteria (see Overleaf Notes) |
| 9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied | 10. Number, date of Invoices |
| 11. Declaration by the exporter | 12. Certification |
| The undersigned hereby declares that the above details and statement are correct: all the products were produced in  
CHINA  
(Country)  
and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to  
(Importing Country)  
Place and date, signature of authorised signatory |
| It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct. |
| 13. ☐ Issued Retroactively  
☐ Exhibition  
☐ Movement Certificate  
☐ Third Party Invoicing | Place and date, signature and stamp of certifying authority |

Page 1 of 1
### Attachment

<table>
<thead>
<tr>
<th>1. Products consigned from (Exporter’s business name, address, country)</th>
<th>Serial No. Reference No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Products consigned to (Consignee’s name, address, country)</td>
<td><strong>ASEAN-CHINA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)</strong> Form E</td>
</tr>
<tr>
<td>3. Means of transport and route (as far as known)</td>
<td><strong>THE PEOPLE’S REPUBLIC OF CHINA</strong> (Country)</td>
</tr>
<tr>
<td>□ Departure date</td>
<td>See Overleaf Notes</td>
</tr>
<tr>
<td>□ Vessel’s name / Aircraft etc.</td>
<td></td>
</tr>
<tr>
<td>□ Port of Discharge</td>
<td></td>
</tr>
<tr>
<td>4. For Official Use</td>
<td><img src="image" alt="Certificate of Origin" /></td>
</tr>
<tr>
<td>□ Preferential Treatment Given</td>
<td><strong>Signature of Authorised Signatory of the Importing Party</strong></td>
</tr>
<tr>
<td>□ Preferential Treatment Not Given (Please state reason(s))</td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>5. Item number</th>
<th>6. Marks and numbers on packages</th>
<th>7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)</th>
<th>8. Origin criteria (see Overleaf Notes)</th>
<th>9. Gross weight or net weight or other quantity, and value (FOB only when RVC criterion is applied)</th>
<th>10. Number, date of Invoices</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>11. Declaration by the exporter</th>
<th><strong>CHINA</strong> (Country)</th>
<th>and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to</th>
</tr>
</thead>
<tbody>
<tr>
<td>□ Issued Retroactively</td>
<td><strong>Importing Country</strong></td>
<td></td>
</tr>
<tr>
<td>□ Movement Certificate</td>
<td></td>
<td></td>
</tr>
<tr>
<td>□ Exhibition</td>
<td><strong>Place and date, signature of authorised signatory</strong></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>12. Certification</th>
<th>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.</th>
</tr>
</thead>
</table>

| 13. | Third Party Invoicing | **Place and date, signature and stamp of certifying authority** |
OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the ASEAN-China Free Trade Area (ACFTA):
   - BRUNEI DARUSSALAM
   - CAMBODIA
   - CHINA
   - INDONESIA
   - LAOS
   - MALAYSIA
   - MYANMAR
   - PHILIPPINES
   - SINGAPORE
   - THAILAND
   - VIETNAM

2. CONDITIONS: The main conditions for admission to the preferential treatment under the ACFTA are that products sent to any Parties listed above:
   (i) must fall within a description of products eligible for concessions in the country of destination;
   (ii) must comply with all relevant provisions of Annex 1 (Rules of Origin) of the Protocol to Amend the Framework Agreement on Comprehensive Economic Co-operation and Certain Agreements thereunder between the Association of Southeast Asian Nations (ASEAN) and the People's Republic of China (ACFTA Upgrading Protocol).

3. ORIGIN CRITERIA: For each good described in Box 7 of this form, the origin criteria met should be indicated in Box 8, in the manner shown in the following table:

<table>
<thead>
<tr>
<th>Circumstances of production or manufacture in the first country named in Box 11 of this form</th>
<th>Insert in Box 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Goods wholly produced or obtained satisfying subparagraph (a) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>WO</td>
</tr>
<tr>
<td>(b) Goods produced in a Party exclusively from originating materials from one or more of the Parties satisfying subparagraph (b) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>PE</td>
</tr>
<tr>
<td>(c) Goods produced from non-originating materials in a Party, satisfying paragraph 1 of Article 4 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>actual percentage of ACFTA value content, example “40%”</td>
</tr>
<tr>
<td>Regional Value Content</td>
<td>CTH</td>
</tr>
<tr>
<td>Change in Tariff Classification at the four-digit level</td>
<td>CTH</td>
</tr>
<tr>
<td>(d) Goods satisfying the Product Specific Rules (PSR) in Attachment B of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>PSR</td>
</tr>
</tbody>
</table>

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the products in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.

5. DESCRIPTION OF PRODUCTS: The description of products in Box 7 must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them.

6. The Harmonized System number of the importing party in Box 7 (six digit code) shall be determined according to the International Convention on the Harmonized Commodity Description and Coding System and subsequent amendments thereto.

7. The term “Exporter” in Box 1 and 11 may include the manufacturer or the producer. In the case of Movement Certificate (MC), the term “Exporter” also includes the exporter in the intermediate Party. For China, a Chinese manufacturer can apply for a Certificate of Origin (Form E) in the case where the manufacturer needs to authorise other agencies to export on its behalf. In this case, the manufacturer can make the declaration indicated in Box 11 and shall state the name and address of the exporter in Box 7.

8. FOR OFFICIAL USE: The Customs Authority of the Importing Party must indicate (v) in the relevant boxes in column 4 whether or not preferential treatment is accorded.

9. MOVEMENT CERTIFICATE: In cases of Movement Certificate, in accordance with Rule 12 of Attachment A of the Rules of Origin of the ACFTA Upgrading Protocol (Operational Certification Procedures): (i) "Movement Certificate" in Box 13 should be ticked (v); (ii) the indicated value in Box 9 shall be the invoice value of the products exported from the intermediate party. The indicated value in Box 9 is only required when the RVC criterion is applied; (iii) The name of the original issuing Authorities of the Party, date of the issuance and the reference number of the original Certificate of Origin (Form E) to be indicated in Box 7.

10. THIRD PARTY INVOICING: In cases where invoices are issued by a third country, "the Third Party invoicing" in Box 13 shall be ticked (v). The invoice number shall be indicated in Box 10. Information such as name and country of the company issuing the invoice shall be indicated in Box 7.

11. EXHIBITIONS: In cases where products are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 22 of Attachment A of the Rules of Origin for the ACFTA, the "Exhibitions" in Box 13 should be ticked (v) and the name and address of the exhibition indicated in Box 2.

12. ISSUED RETROACTIVELY: In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form E) may be issued retroactively in accordance with Rule 11 of Attachment A of the Rules of Origin for the ACFTA. The "Issued Retroactively" in Box 13 shall be ticked (v) electronically or typewritten together with other information in the Certificate of Origin (Form E). In cases where the "Issued Retroactively" in Box 13 cannot be ticked electronically or typewritten, the Certificate of Origin (Form E) shall be stamped with the words "ISSUED RETROACTIVELY".

- 9 -
附件 2

FORM E 新格式（2019 年 9 月 20 日后）

<table>
<thead>
<tr>
<th>Item</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>Products consigned from (Exporter’s business name, address, country)</td>
</tr>
<tr>
<td>2.</td>
<td>Products consigned to (Consignee’s name, address, country)</td>
</tr>
<tr>
<td>3.</td>
<td>Means of transport and route (as far as known)</td>
</tr>
<tr>
<td></td>
<td>Departure date</td>
</tr>
<tr>
<td></td>
<td>Vessel’s name / Aircraft etc.</td>
</tr>
<tr>
<td></td>
<td>Port of Discharge</td>
</tr>
<tr>
<td>4.</td>
<td>For Official Use</td>
</tr>
<tr>
<td></td>
<td>☐ Preferential Treatment Given</td>
</tr>
<tr>
<td></td>
<td>☐ Preferential Treatment Not Given (Please state reasons)</td>
</tr>
<tr>
<td></td>
<td>Signature of Authorised Signatory of the Importing Party</td>
</tr>
<tr>
<td>5.</td>
<td>Item number</td>
</tr>
<tr>
<td>6.</td>
<td>Marks and numbers on packages</td>
</tr>
<tr>
<td>7.</td>
<td>Number and type of packages, description of product (including quantity where appropriate and HS number in six digit code)</td>
</tr>
<tr>
<td>8.</td>
<td>Origin criteria (see Overleaf Notes)</td>
</tr>
<tr>
<td>9.</td>
<td>Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied</td>
</tr>
<tr>
<td>10.</td>
<td>Number of Invoices</td>
</tr>
<tr>
<td>11.</td>
<td>Declaration by the exporter</td>
</tr>
<tr>
<td></td>
<td>The undersigned hereby declares that the above details and statement are correct, that all the products were produced in CHINA (Country) and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to (Importing Country)</td>
</tr>
<tr>
<td>12.</td>
<td>Certification</td>
</tr>
<tr>
<td></td>
<td>It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct</td>
</tr>
<tr>
<td>13.</td>
<td>Issued Retroactively</td>
</tr>
<tr>
<td></td>
<td>☐ Exhibition</td>
</tr>
<tr>
<td></td>
<td>☐ Movement Certificate</td>
</tr>
<tr>
<td></td>
<td>☐ Third Party Invoicing</td>
</tr>
</tbody>
</table>

Place and date, signature of authorised signatory

Page 1 of 1
<table>
<thead>
<tr>
<th>1. Products consigned from (Exporter’s business name, address, country)</th>
<th>Serial No. Reference No.</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Products consigned to (Consignee’s name, address, country)</td>
<td></td>
</tr>
</tbody>
</table>

**ASEAN-CANADA FREE TRADE AREA PREFERENTIAL TARIFF CERTIFICATE OF ORIGIN (Combined Declaration and Certificate)**

**FORM E**

**THE PEOPLE’S REPUBLIC OF CHINA** *(Country)*

See Overleaf Notes

<table>
<thead>
<tr>
<th>3. Means of transport and route (as far as known)</th>
<th>4. For Official Use</th>
</tr>
</thead>
<tbody>
<tr>
<td>Departure date</td>
<td>□ Preferential Treatment Given</td>
</tr>
<tr>
<td>Vessel’s name / Aircraft etc.</td>
<td>□ Preferential Treatment Not Given (Please state reason(s))</td>
</tr>
<tr>
<td>Port of Discharge</td>
<td></td>
</tr>
</tbody>
</table>

---

**Signature of Authorised Signatory of the Importing Party**

<table>
<thead>
<tr>
<th>5. Item number</th>
<th>6. Marks and numbers on packages</th>
<th>7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)</th>
<th>8. Origin criteria (see Overleaf Notes)</th>
<th>9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied</th>
<th>10. Number, date of invoices</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

11. Declaration by the exporter

The undersigned hereby declares that the above details and statement are correct, that all the products were produced in

**CHINA** *(Country)*

and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to

**Importing Country** *(Importing Country)*

---

12. Certification

It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

---

13. □ Issued Retroactively □ Exhibition

□ Movement Certificate □ Third Party Invoicing

---

Place and date, signature of authorised signatory
Duplicate

1. Products consigned from (Exporter’s business name, address, country)

2. Products consigned to (Consignee’s name, address, country)

3. Means of transport and route (as far as known)
   - Departure date
   - Vessel’s name / Aircraft etc.
   - Port of Discharge

4. For Official Use
   - ☐ Preferential Treatment Given
   - ☐ Preferential Treatment Not Given (Please state reason(s))

5. Item number
6. Marks and numbers on packages

7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)

8. Origin criteria (see Overleaf Notes)

9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied

10. Number, date of Invoices

11. Declaration by the exporter
    The undersigned hereby declares that the above details and statement are correct, that all the products were produced in
    - CHINA
    (Country)
    and that they comply with the origin requirements specified for these products in the Rules of Origin for the AFTA for the products exported to
    - (Importing Country)

    Place and date, signature of authorised signatory

12. Certification
    It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

13. ☐ Issued Retroactively ☐ Exhibition
    ☐ Movement Certificate ☐ Third Party Invoicing

Signature of Authorised Signatory of the Importing Party
Attachment

1. Products consigned from (Exporter’s business name, address, country)

2. Products consigned to (Consignee’s name, address, country)

3. Means of transport and route (as far as known)
   - Departure date
   - Vessel’s name / Aircraft etc.
   - Port of Discharge

4. For Official Use
   - ☐ Preferential Treatment Given
   - ☐ Preferential Treatment Not Given (Please state reason(s))

   Signature of Authorised Signatory of the Importing Party

5. Item number
6. Marks and numbers on packages
7. Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)
8. Origin criteria (see Overleaf Notes)
9. Gross weight or net weight or other quantity, and value (FOB) only when RVC criterion is applied

10. Number, date of Invoices

11. Declaration by the exporter
    The undersigned hereby declares that the above details and statement are correct, that all the products were produced in
    CHINA
    (Country)
    and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the
    products exported to
    (Importing Country)
    
    Place and date, signature of authorised signatory

12. Certification
    It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

   Place and date, signature and stamp of certifying authority

13. ☐ Issued retroactively
    ☐ Exhibition
    ☐ Movement Certificate
    ☐ Third Party Invoicing

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- 13 -
### Triplicate

1. **Products consigned from (Exporter's business name, address, country)**

2. **Products consigned to (Consignee's name, address, country)**

3. **Means of transport and route (as far as known)**
   - Departure date
   - Vessel's name / Aircraft etc.
   - Port of Discharge

4. **For Official Use**
   - □ Preferential Treatment Given
   - □ Preferential Treatment Not Given (Please state reason(s))

5. **Item number** | 6. **Marks and numbers on packages** | 7. **Number and type of packages, description of products (including quantity where appropriate and HS number in six digit code)** | 8. **Origin criteria (see Overleaf Notes)** | 9. **Gross weight or net weight or other quantity and value (POB) only when RVC criterion is applied** | 10. **Number, date of invoices**

6. **Declaration by the exporter**
   - The undersigned hereby declares that the above details and statement are correct, that all the products were produced in
   - CHINA
   - (Country)
   - and that they comply with the origin requirements specified for these products in the Rules of Origin for the AGFTA for the products exported to
   - (Importing Country)
   - Place and date, signature of authorised signatory

7. **Certification**
   - It is hereby certified, on the basis of control carried out, that the declaration by the exporter is correct.

8. **Issued Retroactively** | □ Exhibition
   - □ Movement Certificate | □ Third Party Invoicing

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**See Overleaf Notes**

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Page 1 of 1
## Attachment

1. Products consigned from (Exporter's business name, address, country)

2. Products consigned to (Consignee's name, address, country)

3. Means of transport and route (as far as known)
   - Departure date
   - Vessel's name / Aircraft etc.
   - Port of Discharge

4. For Official Use
   - ☐ Preferential Treatment Given
   - ☐ Preferential Treatment Not Given (Please state reason(s))

   Signature of Authorised Signatory of the Importing Party

<table>
<thead>
<tr>
<th>Item number</th>
<th>Marks and numbers on packages</th>
<th>Number and type of packages, description of products including quantity where appropriate and HS number in six digit code</th>
<th>Origin criteria (see Overleaf Notes)</th>
<th>Gross weight or net weight or other quantity, and value (FOB only when RVC criterion is applied)</th>
<th>Number, date of Invoices</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

5. Declaration by the exporter

The undersigned hereby declares that the above details and statement are correct; that all the products were produced in

[Country]

and that they comply with the origin requirements specified for these products in the Rules of Origin for the ACFTA for the products exported to

[Importing Country]

Place and date, signature of authorised signatory

6. Certification

It is hereby certified that, on the basis of control carried out, that the declaration by the exporter is correct.

Place and date, signature and stamp of certifying authority

7. ☐ Issued Retroactively
   - ☐ Exhibition
   - ☐ Movement Certificate
   - ☐ Third Party Invoicing

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Page 1 of 1
OVERLEAF NOTES

1. Parties which accept this form for the purpose of preferential treatment under the ASEAN-China Free Trade Area (ACFTA):
   BRUNEI DARUSSALAM  CAMBODIA  CHINA
   INDONESIA  LAOS  MALAYSIA
   MYANMAR  PHILIPPINES  SINGAPORE
   THAILAND  VIETNAM

2. CONDITIONS: The main conditions for admission to the preferential treatment under the ACFTA are that products sent to any Parties listed above:
   (i) must fall within a description of products eligible for concessions in the country of destination;
   (ii) must comply with all relevant provisions of Annex 1 (Rules of Origin) of the Protocol to Amend the Framework Agreement on Comprehensive Economic Co-operation and Certain Agreements thereunder between the Association of Southeast Asian Nations (ASEAN) and the People's Republic of China (ACFTA Upgrading Protocol).

3. ORIGIN CRITERIA: For each good described in Box 7 of this form, the origin criteria met should be indicated in Box 8, in the manner shown in the following table:

<table>
<thead>
<tr>
<th>Circumstances of production or manufacture in the first country named in Box 11 of this form</th>
<th>Insert in Box 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>(a) Goods wholly produced or obtained satisfying subparagraph (a) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>W0</td>
</tr>
<tr>
<td>(b) Goods produced in a Party exclusively from originating materials from one or more of the Parties satisfying subparagraph (b) of Article 2 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td>PE</td>
</tr>
<tr>
<td>(c) Goods produced from non-originating materials in a Party, satisfying paragraph 1 of Article 4 of Annex 1 of the ACFTA Upgrading Protocol</td>
<td></td>
</tr>
</tbody>
</table>
   - Regional Value Content: Actual percentage of ACFTA value content, example "40%"
   - Change in Tariff Classification at the four-digit level: CTH |
| (d) Goods satisfying the Product Specific Rules (PSR) in Attachment B of Annex 1 of the ACFTA Upgrading Protocol | PSR |

4. EACH ARTICLE MUST QUALIFY: It should be noted that all the products in a consignment must qualify separately in their own right. This is of particular relevance when similar articles of different sizes or spare parts are sent.

5. DESCRIPTION OF PRODUCTS: The description of products in Box 7 must be sufficiently detailed to enable the products to be identified by the Customs Officers examining them.

6. The Harmonised System number of the importing party in Box 7 (six digit code) shall be determined according to the International Convention on the Harmonised Commodity Description and Coding System and subsequent amendments thereto.

7. The term "Exporter" in Box 1 and 11 may include the manufacturer or the producer. In the case of Movement Certificate (MC), the term "Exporter" also includes the exporter in the Intermediate Party. For China, a Chinese manufacturer can apply for a Certificate of Origin (Form E) in the case where the manufacturer needs to authorise other agencies to export on its behalf. In this case, the manufacturer can make the declaration indicated in Box 11 and shall state the name and address of the exporter in Box 7.

8. FOR OFFICIAL USE: The Customs Authority of the importing party must indicate (v) in the relevant boxes in column 4 whether or not preferential treatment is accorded.

9. MOVEMENT CERTIFICATE: In cases of Movement Certificate, in accordance with Rule 12 of Attachment A of the Rules of Origin of the ACFTA Upgrading Protocol (Operational Certification Procedures): (i) "Movement Certificate" in Box 13 should be ticked (V); (ii) the indicated value in Box 9 shall be the invoice value of the products exported from the Intermediate Party. The indicated value in Box 9 is only required when the RVC criterion is applied; (iii) The name of the original Issuing Authorities of the Party, date of the issuance and the reference number of the original Certificate of Origin (Form E) to be indicated in Box 7.

10. THIRD PARTY INVOICING: In cases where invoices are issued by a third country, "the Third Party invoicing" in Box 13 shall be ticked (V). The invoice number shall be indicated in Box 10. Information such as name and country of the company issuing the invoice shall be indicated in Box 7.

11. EXHIBITIONS: In cases where products are sent from the exporting Party for exhibition in another Party and sold during or after the exhibition for importation into a Party, in accordance with Rule 22 of Attachment A of the Rules of Origin for the ACFTA, the "Exhibitions" in Box 13 should be ticked (v) and the name and address of the exhibition indicated in Box 2.

12. ISSUED RETROACTIVELY: In exceptional cases, due to involuntary errors or omissions or other valid causes, the Certificate of Origin (Form E) may be issued retroactively in accordance with Rule 11 of Attachment A of the Rules of Origin for the ACFTA. The "Issued Retroactively" in Box 13 shall be ticked (V) electronically or typewritten together with other information in the Certificate of Origin (Form E). In cases where the "Issued Retroactively" in Box 13 cannot be ticked electronically or typewritten, the Certificate of Origin (Form E) shall be stamped with the words "ISSUED RETROACTIVELY".